

2016 Professional Standards Local AOR Statistics YTD
2016 Professional Standards Statistics

ETHICS					
	1ST QTR	2ND QTR	3RD QTR	4TH QTR	YTD
Complaints filed	25	14	31	33	103
Filed by Members	8	2	12	14	36
Filed by Public	17	12	19	20	67

GRIEVANCE COMMITTEE					
Forwarded	17	12	20	27	76
Citation Program Offered	1	0	2	2	5
Citation Program Accepted	0	0	1	2	3

HEARINGS <i>** (May include cases from previous quarters/years)</i>					
Held	9	10	8	4	31
Scheduled	11	5	8	4	28
To Be Scheduled	7	5	17	17	46
W/D Prior to Hearing	5	1	1	1	8

ABEYANCE <i>** (1 to 3 year holding periods)</i>					
Holding	20	17	16	16	69

DISCIPLINE RECOMMENDED	1ST QTR	2ND QTR	3RD QTR	4TH QTR	YTD
Letter of Warning	4	2	2	0	8
Letter of Reprimand	2	3	3	2	10
Education	5	5	4	2	16
Probation	0	0	0	0	0
Suspension	0	0	0	0	0
Expulsion	0	0	0	0	0
Fines - \$250 to \$5,000	2	5	3	2	12

ARBITRATIONS					
	1ST QTR	2ND QTR	3RD QTR	4TH QTR	YTD
Filed	3	3	10	3	19
Filed by Members	3	3	10	3	19
Filed by Public	0	0	0	0	0

GRIEVANCE COMMITTEE					
Forwarded / Mandatory	2	3	8	3	16
Forwarded / Voluntary	0	0	1	0	1

HEARINGS ** (<i>May include cases from previous quarters/years</i>)					
Held	1	2	0	0	3
Scheduled	1	0	0	0	1
To Be Scheduled	1	2	0	3	6
W/D Prior to Hearing		2	7	1	10

MONETARY AWARDS	Requests YTD	Awards YTD
\$0 - \$999	3	1
\$1,000 - \$3,000	4	
\$3,001 - \$5,000	1	
\$5,001 - \$10,000	3	
\$10,001 - \$20,000	7	2
\$20,001 + Over	1	

MEDIATION of ETHIC COMPLAINTS					
	1ST QTR	2ND QTR	3RD QTR	4TH QTR	YTD
Requested By a Party	5	5	10	4	24
Refused By a Party	3	2	3	2	10
Resolved By Parties	0	1	4	0	5
Held	1	0	1	1	3
Success	1	0	1	1	3

MEDIATION of ARBITRATION DISPUTES					
	1ST QTR	2ND QTR	3RD QTR	4TH QTR	YTD
Requested By a Party	2	6	8	8	24
Mandatory Mediation	1	2	5	2	10
Refused By a Party	0	0	2	2	4
Resolved By Parties	0	1	1	1	3
Held	1	2	4	2	9
Success	1	2	3	1	7

OMBUDSMAN					
	1ST QTR	2ND QTR	3RD QTR	4TH QTR	YTD
Requests Filed	13	22	2	7	44
In process	1	1	0	0	2
Resolved	8	10	2	3	23
Involved existing ethics complaint	0	0	0	0	0
Resolved existing ethics complaint	0	0	0	0	0
Out of Scope	4	8	0	0	12

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# of Cases Filed	REGION 1					REGION 2			REGION 3					REGION 4		REGION 5					TOTALS	
	Bullhead City Mohave Valley 1385	Kingman Golden Valley 1425	Lake Havasu 1430	La Paz 1426	Yuma 1490	Phoenix 1440	SEVRAR 1470	West Maricopa 1410	Central Arizona 1400	Northern Arizona 1435	Prescott Area 1445	Sedona Verde Valley 1460	White Mountains 1485	Scottsdale 1455	Western Pinal 1395	Douglas 1405	Graham Greenlee 1415	Green Valley Sahuarita 1420	Santa Cruz County 1450	Southeast Arizona 1465		Tucson 1480
ARBs			1			4		1			1			11							1	19
ETHICs	3		3			27		19	2	2	1	2	1	21						1	21	103
Article 1	<i>Charged</i>	1		3		17		13	1	1		1	1	10						1	14	63
	<i>Violated</i>					5		1						2							2	10
Article 2	<i>Charged</i>	1				11		5	1	1		2	1	9							8	39
	<i>Violated</i>					1		1						2							1	5
Article 3	<i>Charged</i>	1				5		5	1				1	3							5	21
	<i>Violated</i>					2		2														4
Article 4	<i>Charged</i>					2		2														4
	<i>Violated</i>																					0
Article 5	<i>Charged</i>					3				1												4
	<i>Violated</i>							1														1
Article 6	<i>Charged</i>																					0
	<i>Violated</i>																					0
Article 7	<i>Charged</i>							1		1												2
	<i>Violated</i>																					0
Article 8	<i>Charged</i>							1						1								2
	<i>Violated</i>																					0
Article 9	<i>Charged</i>	1		2		5		6	1					1							3	19
	<i>Violated</i>					1		1	1												1	4
Article 10	<i>Charged</i>					1		1						1							3	6
	<i>Violated</i>																					0
Article 11	<i>Charged</i>	1		1		5		2	1	1			1	4							4	20
	<i>Violated</i>					1															1	2
Article 12	<i>Charged</i>	1		1		10		5	1	1			1	5							3	28
	<i>Violated</i>					4*															2*	0
Article 13	<i>Charged</i>	1				2		1													1	5
	<i>Violated</i>					1																1
Article 14	<i>Charged</i>					4		1													1	6
	<i>Violated</i>																					0
Article 15	<i>Charged</i>			1		4		8		1				3							2	19
	<i>Violated</i>					1		1														2
Article 16	<i>Charged</i>	1				4		2	1					4							4	16
	<i>Violated</i>					1								1							1*	2
Article 17	<i>Charged</i>	1																				1
	<i>Violated</i>																					0

*Includes Fulfilled Citation