

**Southeast Valley Regional Association of REALTORS®
2012 Professional Standards Statistics ETHICS**

| ETHICS | | | | | |
|------------------|---------|---------|---------|---------|-----|
| | 1ST QTR | 2ND QTR | 3RD QTR | 4TH QTR | YTD |
| Complaints Filed | 5 | 9 | 9 | 4 | 27 |
| Filed by Members | 1 | 2 | 1 | | 4 |
| Filed by Public | 4 | 7 | 8 | 4 | 23 |

| GRIEVANCE COMMITTEE | | | | | |
|---------------------|---------|---------|---------|---------|-----|
| | 1ST QTR | 2ND QTR | 3RD QTR | 4TH QTR | YTD |
| Forwarded | 2 | 0 | 3 | 0 | 5 |
| Dismissed | 3 | 7 | 3 | 3 | 18 |

| HEARINGS (May Include cases from previous year) | | | | | |
|---|---------|---------|---------|---------|-----|
| | 1ST QTR | 2ND QTR | 3RD QTR | 4TH QTR | YTD |
| Held | 1 | 0 | 0 | | 1 |
| Scheduled | 0 | 0 | 0 | | 0 |
| To be scheduled | 0 | 0 | 2 | | 2 |
| Withdrawn Prior to Hearing | 0 | 0 | 0 | | 0 |

| ABEYANCE | | | | | |
|----------|---------|---------|---------|---------|-----|
| | 1ST QTR | 2ND QTR | 3RD QTR | 4TH QTR | YTD |
| Holding | 1 | 0 | 1 | | 2 |

| DISCIPLINE RECOMMENDED | | | | | |
|------------------------|---------|---------|---------|---------|-----|
| | 1ST QTR | 2ND QTR | 3RD QTR | 4TH QTR | YTD |
| Letter of Warning | 1 | 0 | 0 | | 1 |
| Letter of Reprimand | 0 | 0 | 0 | | 0 |
| Education | 1 | 0 | 0 | | 1 |
| Probation | 0 | 0 | 0 | | 0 |
| Suspension | 0 | 0 | 0 | | 0 |
| Expulsion | 0 | 0 | 0 | | 0 |
| Fine | 0 | 0 | 0 | | 0 |

| MEDIATION OF ETHICS COMPLAINTS | | | | | |
|--------------------------------|---------|---------|---------|---------|-----|
| | 1ST QTR | 2ND QTR | 3RD QTR | 4TH QTR | YTD |
| Requested by a Party | 1 | 0 | 1 | | 2 |
| Refused by a Party | 0 | 0 | 0 | | 0 |
| Held | 1 | 0 | 0 | 1 | 2 |
| Success | 1 | 0 | 0 | 1 | 2 |